

$A \in \mathbb{R}^{m \times n}$ $A = [a_1 \ a_2 \ a_3 \ \dots \ a_n]$ $a_1, \dots, a_n \in \mathbb{R}^m$

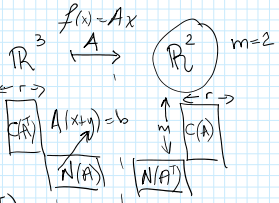
$A = \begin{bmatrix} a_{11} & a_{12} & a_{13} & \dots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \dots & a_{2n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & a_{m3} & \dots & a_{mn} \end{bmatrix}$
label of the column vector
label of the row vector

Basic operation in Gauss elimination is row linear combination

Scalar $(row\ i) + (row\ j) \rightarrow$ obtain a new matrix

$A \sim \begin{bmatrix} 1 & x & x & x & \dots & x \\ 0 & 1 & & & & \\ \vdots & & \ddots & & & \\ 0 & 0 & & & & \\ 0 & 0 & & & & \\ 0 & 0 & & & & \end{bmatrix}$

$x =$ some non-zero value



Ex $A = \begin{bmatrix} 1 & 2 & 3 \\ -1 & 0 & 1 \end{bmatrix} \in \mathbb{R}^{2 \times 3}$

$\text{rank } A = \dim C(A) = \dim C(A^T)$

$\text{rank}(A) \leq \min(\dim C(A), \dim C(A^T))$

$\mathbb{R}^3 = C(A^T) \oplus N(A)$

$\mathbb{R}^2 = C(A) \oplus N(A^T)$
 $2 = \dim C(A) + \dim N(A^T)$

$A = \begin{bmatrix} 1 & 2 & 3 \\ -1 & 0 & 1 \end{bmatrix} \rightsquigarrow \begin{bmatrix} 1 & 2 & 3 \\ 0 & 2 & 4 \end{bmatrix} \rightsquigarrow \begin{bmatrix} 1 & 2 & 3 \\ 0 & 1 & 2 \end{bmatrix}$
 "similar" has same fundamental spaces
 "echelon form" "reduced row echelon"

$\text{rank}(A) =$ nr. of ones on diagonal of r.r.e.f.

$A \rightsquigarrow \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & 2 \end{bmatrix}$

Write the original system

The transformed system

$\begin{cases} x_1 + 2x_2 + 3x_3 = b_1 \\ -x_1 + x_3 = b_2 \end{cases} \rightsquigarrow \begin{cases} x_1 - x_3 = c_1 \\ x_2 + 2x_3 = c_2 \end{cases}$

x_3 as a degree-of-freedom (it can take any values & the system will have a solution)

$\begin{cases} x_1 = c_1 + x_3 \\ x_2 = c_2 - 2x_3 \end{cases} \rightarrow \dim N(A) + \dim C(A^T) = m = 3$
 1 m. of ones in r.r.e.f.

$\begin{cases} x_1 - x_3 = 3 \\ x_2 + 2x_3 = 4 \end{cases}$ for $x_3 = 0$ $\begin{cases} x_1 = 3 \\ x_2 = 4 \end{cases}$
 for $x_3 = 1$ $\begin{cases} x_1 = 4 \\ x_2 = 2 \end{cases}$

Ex:

$A = \begin{bmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{bmatrix} \in \mathbb{R}^{2 \times 3}$ $\text{rank}(A) = 1$

$A \rightsquigarrow \begin{bmatrix} 1 & 2 & 3 \\ 0 & 0 & 0 \end{bmatrix}$

$\text{nullity}(A) = 2 =$ "nr. of zeros on diagonal"

Write out the transformed

$$x_1 + 2x_2 + 3x_3 = c_1 \quad x_1 = c_1 - 2x_2 - 3x_3$$

$$0 = c_2 \quad \& \text{ for } c_2$$

$$\mathbb{R}^n \xrightarrow{f} \mathbb{R}^m \quad f = Ax$$

- $\mathbb{R}^n = C(A^T) \oplus N(A)$ rank $A = 1$
- $\mathbb{R}^m = C(A) \oplus N(A^T)$

Nr. of columns $n = 3 = \dim C(A^T) + \dim N(A) = 1 + 2$

Nr. of rows $m = 2 = \dim C(A) + \dim N(A^T)$

Ex $A = \begin{bmatrix} 1 & 2 & 1 \\ 3 & 1 & -2 \\ -2 & 3 & 5 \\ 4 & 1 & -3 \end{bmatrix} \in \mathbb{R}^{4 \times 3}$ $f(x) = Ax$ $m = 4$
 $\mathbb{R}^3 \rightarrow \mathbb{R}^4$ $n = 3$

$$\mathbb{R}^n = \mathbb{R}^3 = \text{domain} = C(A^T) \oplus N(A)$$

$$\mathbb{R}^m = \mathbb{R}^4 = \text{codomain} = C(A) \oplus N(A^T)$$

$$\dim C(A) = \dim C(A^T) = \text{rank}(A)$$

$$\begin{bmatrix} 1 & 2 & 1 \\ 3 & 1 & -2 \\ -2 & 3 & 5 \\ 4 & 1 & -3 \end{bmatrix} \sim \begin{bmatrix} 1 & 2 & 1 \\ 0 & -5 & -5 \\ 0 & 7 & 7 \\ 0 & -7 & -7 \end{bmatrix} \sim \begin{bmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \sim$$

$$\sim \begin{bmatrix} 1 & 2 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\text{rank}(A) = 2 = \dim C(A) = \dim C(A^T)$$

$$\text{nullity}(A) = 1$$

$$n = 3 = \dim \mathbb{R}^3 = \dim C(A^T) + \dim N(A)$$

$$= \text{rank}(A) + \text{nullity}(A)$$

$$= 2 + 1$$

$$\dim N(A^T) = ?$$

$$m = 4 = \dim \mathbb{R}^4 = \dim C(A) + \dim N(A^T)$$

$$= \text{rank}(A) + \dim N(A^T)$$

$$= 2 + 2$$

What is this good for?

What is this good for?

Ex. $Ax=b$ $b \in C(A) \Rightarrow Ax=b$ has a solution

nullity(A) = $\dim N(A) = 0 \Rightarrow$ solution is unique

nullity(A) = $k \Rightarrow$ solution is not unique,
there are infinitely many solutions
with k -parameters

$b \notin C(A) \Rightarrow Ax=b$ does not have a solution

Ex. $Ax=0$ clearly $x=0$ is a solution

In \mathbb{R} -arithmetic $uv=0 \Rightarrow$ at least one factor is zero

In lin. alg. $Ax=0 \Rightarrow$ that either $x=0$ or $A=0$

Def. Square matrices $A \in \mathbb{R}^{n \times n}$ that
have nullity(A) > 0 are said to be singular.

Determinants

$A \in \mathbb{R}^{n \times n}$

- algebraic definition
- geometric definition

Algebraic: $\sigma: \begin{pmatrix} 1 & 2 & 3 & \dots & n \\ i_1 & i_2 & i_3 & \dots & i_m \end{pmatrix}$ $i_1, \dots, i_m \in \{1, 2, 3, \dots, n\}$
 $i_j \neq i_k$ for $j \neq k$

How many permutations of n objects

$\begin{pmatrix} 1 & 2 & 3 & \dots & n \\ \square & \square & & & \end{pmatrix}$ $n \cdot (n-1) \cdot \dots \cdot 1 = n!$
 n choices

$\begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}$ 1 "swap" of a pair \rightarrow odd perm
(-1)

$\begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}$ 2 "swaps" of pairs \rightarrow even perm
(+1)

$\text{sgn}(\sigma) = \text{sign of permutation} = \begin{cases} +1 & \text{even perm} \\ -1 & \text{odd perm.} \end{cases}$

$$\det A = \begin{vmatrix} a_{11} & a_{12} & \dots & a_{1m} \\ a_{21} & a_{22} & \dots & a_{2m} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mm} \end{vmatrix} = \sum_{\sigma \in S_m} \text{sgn}(\sigma) a_{1\sigma_1} \dots a_{m\sigma_m}$$

$\sigma = (i_1 \ i_2 \ \dots \ i_m)$

By definition $m \cdot m!$ FLOPS are required to compute a determinant.

$m=2$ $A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$ $\begin{pmatrix} 1 & 2 \\ 1 & 2 \end{pmatrix}$ $\begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix}$

σ_1 σ_2

$$\det A = |A| = \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = a_{11}a_{22} - a_{12}a_{21}$$

$$\det A = \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = a_{11}a_{22} - a_{21}a_{12}$$

$m=3$

$$\det A = \begin{vmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{vmatrix} =$$

sign of perm

$$= \begin{matrix} 1 \\ -1 \\ -1 \end{matrix} \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix} \begin{matrix} -1 \\ 1 \\ 1 \end{matrix} \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix} \begin{matrix} -1 \\ 1 \\ 1 \end{matrix} \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix}$$

$$\begin{matrix} -1 \\ 1 \\ 1 \end{matrix} \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} \begin{matrix} 1 \\ 1 \\ 1 \end{matrix} \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \begin{matrix} 1 \\ 1 \\ 1 \end{matrix} \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}$$

$$\det A = a_{11}a_{22}a_{33} + a_{12}a_{23}a_{31} + a_{13}a_{21}a_{32} - a_{13}a_{22}a_{31} - a_{11}a_{23}a_{32} - a_{13}a_{22}a_{31}$$

Sarrus rule

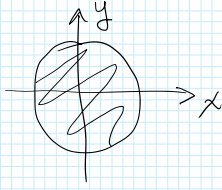
$$A = \begin{vmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{vmatrix}$$

$$a_{11}a_{22}a_{33} + a_{21}a_{32}a_{13} + a_{31}a_{12}a_{23} - a_{13}a_{22}a_{31} - a_{23}a_{32}a_{11} - a_{31}a_{12}a_{23}$$

$$-a_{23} a_{32} a_{11}$$

$$-a_{33} a_{12} a_{21}$$

Ex. though not widely applicable, the above are sometimes useful



$$A = \iint_{\text{circle}} dx dy$$

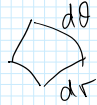
$$x = r \cos \theta$$

$$y = r \sin \theta$$

$$dx dy = \frac{D(x,y)}{D(r,\theta)} dr d\theta$$

$$= J dr d\theta$$

$$\frac{dy}{dx}$$



J = Jacobian determinant

$$J = \begin{vmatrix} \frac{\partial x}{\partial r} & \frac{\partial x}{\partial \theta} \\ \frac{\partial y}{\partial r} & \frac{\partial y}{\partial \theta} \end{vmatrix} = \begin{vmatrix} \cos \theta & -r \sin \theta \\ \sin \theta & r \cos \theta \end{vmatrix} =$$

$$A = \int_0^{2\pi} \int_0^R r dr d\theta = \frac{1}{2} R^2 \cdot 2\pi = \pi R^2$$

Ex: ODEs linear system of ODEs $y_1(t), \dots, y_m(t)$

$$\begin{cases} y_1' = a_{11}y_1 + \dots + a_{1m}y_m \\ \vdots \\ y_m' = a_{m1}y_1 + \dots + a_{mm}y_m \end{cases} \quad y = \begin{bmatrix} y_1 \\ \vdots \\ y_m \end{bmatrix}$$

$$y' = Ay \Rightarrow \det A \Rightarrow \text{information on solutions}$$

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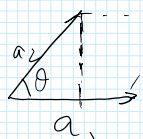
Geometric definition $A \in \mathbb{R}^{m \times m}$

$$A = [a_1 \ a_2 \ \dots \ a_m]$$

$\det A = |A| = (\text{signed}) \text{ volume}$

"hyperparallelepiped"

$m=2 \Rightarrow$ area of the parallelogram



$$\text{Area} = \|a_1\| \|a_2\| \sin \theta$$

$$\text{Projector onto } a_1 = P_1 = \frac{a_1 a_1^T}{\|a_1\| \|a_1\|}$$

$$P_1 = a_1^T a_1$$

Complementary projector =

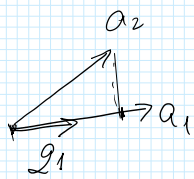
$$P_{\perp 1} = I - P_1 = I - \frac{a_1 a_1^T}{\|a_1\| \|a_1\|}$$

Complementary projector =

$$P_1 = a_1 a_1^T$$

$$P_{\perp 1} = I - P_1 = I - \frac{a_1 a_1^T}{\|a_1\| \|a_1\|}$$

Recall



$$g_1 = \frac{a_1}{\|a_1\|} \left\{ \begin{array}{l} \text{Area} = \|a_1\| \|P_{\perp 1} a_2\| \\ = \|a_1\| \|a_2 - \frac{a_1 a_1^T a_2}{\|a_1\|^2}\| = \|a_1\| \|a_2 - a_{\perp 1} a_2\| \end{array} \right.$$

Part of a_2 in the direction of a_1
 = projection of a_2 in the direction of a_1

$$= (g_1^T a_2) g_1 = g_1 (g_1^T a_2) =$$

$$= (g_1 g_1^T) a_2 = P_1 a_2 = v$$

$$P_1 = g_1 g_1^T = \frac{a_1 a_1^T}{\|a_1\|^2}$$

projector
 projection =
 result of applying
 the projector

Determinant of A = volume of body bounded by the columns of A .

Proposition: Gaussian elimination (scalar) w/o added to a row do not change the value of a determinant

Ex:

$$A = \begin{bmatrix} 4 & 2 & 1 & 3 \\ 1 & 0 & -1 & 1 \\ -2 & 3 & 1 & 2 \\ 1 & 0 & 1 & 1 \end{bmatrix} \in \mathbb{R}^{4 \times 4}$$

$$\det A = \begin{vmatrix} 4 & 2 & 1 & 3 \\ 1 & 0 & -1 & 1 \\ -2 & 3 & 1 & 2 \\ 1 & 0 & 1 & 1 \end{vmatrix} = - \begin{vmatrix} 1 & 0 & -1 & 1 \\ 4 & 2 & 1 & 3 \\ -2 & 3 & 1 & 2 \\ 1 & 0 & 1 & 1 \end{vmatrix}$$

$$= - \begin{vmatrix} 1 & 0 & -1 & 1 \\ 0 & 2 & 5 & -1 \\ 0 & 3 & -1 & 4 \\ 0 & 0 & 2 & 0 \end{vmatrix} = - \begin{vmatrix} 2 & 5 & -1 \\ 3 & -1 & 4 \\ 0 & 2 & 0 \end{vmatrix}$$

$$= - \begin{vmatrix} 2 & 5 & -1 \\ 0 & -\frac{17}{2} & \dots \end{vmatrix} = \dots = 22$$

$$= \begin{vmatrix} 1 & 0 & 0 \\ 0 & -\frac{17}{2} & 0 \\ 0 & 2 & 0 \end{vmatrix} = \dots = 22$$

$$-1 - 5 \frac{3}{2} =$$

A singular iff $\det(A) = 0 \Rightarrow$
 A has linearly dependent columns \Rightarrow
 $\dim N(A) > 0$

Eigenproblems

Statement For square matrix $A \in \mathbb{R}^{m \times m}$ find $x \in \mathbb{R}^m$
 and $\lambda \in \mathbb{R}$ that satisfy

$$Ax = \lambda x$$

$$x \neq 0$$

$\lambda =$ eigenvalues
 $x =$ eigenvectors

Rewrite $Ax = \lambda x$ as $Ax = \lambda I \cdot x \Rightarrow$

$$Ax - \lambda I x = 0 \Rightarrow$$

$$\underbrace{(A - \lambda I)}_{\text{matrix}} x = 0$$

$\Rightarrow A - \lambda I$ is singular $\dim N(A - \lambda I) > 0$

$$\det(A - \lambda I) = 0 \Rightarrow$$

$$\det(\lambda I - A) = 0$$

$$P_A(\lambda) = \det(\lambda I - A) = \begin{vmatrix} \lambda - a_{11} & -a_{12} & \dots & -a_{1m} \\ -a_{21} & \lambda - a_{22} & \dots & -a_{2m} \\ \dots & \dots & \dots & \dots \\ -a_{m1} & -a_{m2} & \dots & \lambda - a_{mm} \end{vmatrix}$$

$P_A(\lambda)$ characteristic polynomial of A of degree n

Ex:

$$A \in \mathbb{R}^{2 \times 2}$$

$$P_A(\lambda) = \begin{vmatrix} \lambda - a_{11} & -a_{12} \\ -a_{21} & \lambda - a_{22} \end{vmatrix} =$$

$$(\lambda - a_{11})(\lambda - a_{22}) - a_{12}a_{21}$$

Does A have eigenvalues / eigenvectors? Yes, n eigenvalues

$$Ax = \lambda x \Rightarrow (A - \lambda I)x = 0 \quad \left(\begin{array}{l} x \neq 0 \\ \Rightarrow \end{array} \right)$$

$$A - \lambda I \text{ singular} \Rightarrow \det(A - \lambda I) = 0 \Rightarrow$$

$$P_A(\lambda) = 0 \quad \left. \begin{array}{l} \rightarrow \text{roots of characteristic} \\ \text{polynomial} \end{array} \right\}$$

\Rightarrow there are n such roots

Ex: $A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$ What are the eigenvalues & eigenvectors of I

$$P_A(\lambda) = \det(\lambda I - A) = \begin{vmatrix} \lambda - 1 & 0 \\ 0 & \lambda - 1 \end{vmatrix} = (\lambda - 1)^2 \Rightarrow$$

roots are $\lambda_1 = \lambda_2 = 1$ (a double root)

Eigenvectors satisfy $(A - \lambda I)x = 0$

$$\Rightarrow x \in N(A - \lambda I)$$

$$\text{r.r.e.f. } A - \lambda I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - 1 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

$\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ has rank $k=0$, has multiplicity $= 2 \Rightarrow$

$\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ has rank $k=0$, has nullity $=2 \Rightarrow$

$$N(A - \lambda I) = \mathbb{R}^2$$

a basis for \mathbb{R}^2 is $\{e_1, e_2\} = \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\}$

$$I \cdot e_1 = 1 \cdot e_1 \quad I \cdot e_2 = 1 \cdot e_2$$

Ex: $A = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$

$$\begin{aligned} P_A(\lambda) &= \det(\lambda I - A) = \begin{vmatrix} \lambda - 1 & -1 \\ -1 & \lambda - 1 \end{vmatrix} = \\ &= (\lambda - 1)^2 - 1 = \lambda^2 - 2\lambda + 1 - 1 = \\ &= \lambda(\lambda - 2) \quad \begin{cases} \lambda_1 = 0 \\ \lambda_2 = 2 \end{cases} \end{aligned}$$

$$\lambda_1 = 0 \quad A - \lambda I = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \quad \begin{cases} y_1 + y_2 = 0 \\ 0 = 0 \end{cases} \quad \begin{matrix} [-1] \\ [1] \end{matrix}$$

$$\boxed{x_1 = \begin{bmatrix} -1 \\ 1 \end{bmatrix}} \quad \lambda_1 = 0 \quad x_1 = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

$$\lambda_2 = 2 \quad A - \lambda_2 I = \begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix} \sim \begin{pmatrix} -1 & 1 \\ 0 & 0 \end{pmatrix}$$

$$\lambda_2 = 2 \quad x_2 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

$Ax = \lambda x \Rightarrow (A - \lambda I)x = 0 \Rightarrow A - \lambda I$ singular

$$Ax = \lambda x \Rightarrow (A - \lambda I)x = 0 \Rightarrow A - \lambda I \text{ singular}$$

$$x \neq 0$$

$$\det(A - \lambda I) = 0 \quad (\dim N(A - \lambda I) > 0)$$

$P_A(\lambda) = \det(\lambda I - A)$ characteristic polynomial of A

Eigenvalues are roots of the characteristic polynomial

There are always n such roots.

For each root (eigenvalue) the associated eigenvector

is a solution of the system $(A - \lambda I)x = 0$

The eigenvector is in the $N(A - \lambda I)$

Ex:

$$A = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

$$P_A(\lambda) = \det \begin{vmatrix} \lambda - 1 & -1 \\ -1 & \lambda + 1 \end{vmatrix} = \lambda^2 - 1 - 1 = \lambda^2 - 2$$

$$\lambda_1 = \sqrt{2} \quad \lambda_2 = -\sqrt{2}$$

$$\lambda_1 = \sqrt{2}; \quad (A - \lambda I)y = 0$$

$$\begin{pmatrix} 1 - \sqrt{2} & 1 \\ 1 & -1 + \sqrt{2} \end{pmatrix} \sim \begin{pmatrix} 1 - \sqrt{2} & 1 \\ 0 & 0 \end{pmatrix}$$

$$(1 - \sqrt{2})y_1 + y_2 = 0$$

$$\begin{pmatrix} 1 \\ \frac{1}{\sqrt{2} - 1} \\ 1 \end{pmatrix} = x_1$$

$$y_1 = -\frac{y_2}{1 - \sqrt{2}}$$

$$\begin{pmatrix} 1 & 1 - \sqrt{2} \\ 1 & 1 + \sqrt{2} \end{pmatrix}$$

$$\lambda_2 = -\sqrt{2}$$

$$\begin{pmatrix} 1-\sqrt{2} & 1 \\ 1+\sqrt{2} & -1-\sqrt{2} \end{pmatrix} \sim \begin{pmatrix} 1+\sqrt{2} & 1 \\ 0 & 0 \end{pmatrix}$$
$$x = \begin{bmatrix} -\frac{1}{1+\sqrt{2}} \\ 1 \end{bmatrix}$$